UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

25944

7590

10/22/2010

OLIFF & BERRIDGE, PLC P.O. BOX 320850 ALEXANDRIA, VA 22320-4850 EXAMINER

HAGAN, SEAN P

ART UNIT PAPER NUMBER

2828

DATE MAILED: 10/22/2010

APPLICATION NO. FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/581,893	09/29/2006	Daniel Kopf	120391	8707	

TITLE OF INVENTION: HIGH-REPETITION LASER SYSTEM FOR GENERATING ULTRA-SHORT PULSES ACCORDING TO THE PRINCIPLE

OF CAVITY DUMPING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$0	\$755	\$755	01/24/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where n

appropriate. All further indicated unless correct maintenance fee notifica	ed below or directed oth	ng the Patent, advance of nerwise in Block 1, by (a	rders and notification a) specifying a new co	of n	naintenance fees w pondence address;	ill be and/or	mailed to the current (b) indicating a sepa	corres irate "	spondence address as FEE ADDRESS" for
CURRENT CORRESPOND		Note: A certificate of mailing can only be used for domestic r Fee(s) Transmittal. This certificate cannot be used for any other papers. Each additional paper, such as an assignment or formal have its own certificate of mailing or transmission.					other accompanying		
OLIFF & BER P.O. BOX 3208: ALEXANDRIA	RRIDGE, PLC	/2010		State	reby certify that the es Postal Service we essed to the Mail	is Fee(s vith suf Stop	of Mailing or Trans s) Transmittal is being ficient postage for firs ISSUE FEE address 1) 273-2885, on the d	g depo st class above	sited with the United s mail in an envelope e, or being facsimile
									(Depositor's name)
									(Signature)
				L					(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTO:	RNEY DOCKET NO.	CON	NFIRMATION NO.
10/581,893 TITLE OF INVENTION OF CAVITY DUMPING		LASER SYSTEM FOR	Daniel Kopf GENERATING ULT	RA-	SHORT PULSES	ACCC	120391 PRDING TO THE PR	INCIF	8707 PLE
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE		DATE DUE
nonprovisional	YES	\$755	\$0		\$755		\$755		01/24/2011
EXAM	MINER	ART UNIT	CLASS-SUBCLASS	3	$\neg$				
HAGAN	, SEAN P	2828	372-012000						
"Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.  3. ASSIGNEE NAME A	ND RESIDENCE DATA	" Indication form aed. Use of a Customer A TO BE PRINTED ON	•	single or a attor Il be or typ	rely, e firm (having as a gent) and the nameneys or agents. If printed.	memb es of uj no nam	er a 2 p to e is 3		
recordation as set fort (A) NAME OF ASSI	th in 37 CFR 3.11. Comp GNEE	ified below, no assignee pletion of this form is NO categories (will not be presented to the presented to th	T a substitute for filing (B) RESIDENCE: (C	g an a	assignment. and STATE OR C	COUNT	RY)		
									·
4a. The following fee(s)  Issue Fee Publication Fee (N Advance Order -	permitted)	<ul> <li>4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)</li> <li>A check is enclosed.</li> <li>Payment by credit card. Form PTO-2038 is attached.</li> <li>The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).</li> </ul>							
**	ns SMALL ENTITY statu				-		FITY status. See 37 Cl		
interest as shown by the	records of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other the Office.	nan ti	ne applicant; a regi	stered a	ittorney or agent; or th	ie assi	gnee or other party in
Authorized Signature					Date				
Typed or printed nam			Registration N	To					
an application. Confiden	tiality is governed by 35 d application form to the ions for reducing this but /irginia 22313-1450. DC	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the ONOT SEND FEES OR	1.14. This collection i	is est	imated to take 12 i	ninutes	to complete, including	ig gath	nering, preparing, and

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/581,893	09/29/2006	Daniel Kopf	120391	8707		
25944 7:	590 10/22/2010		EXAMINER			
OLIFF & BERR	IDGE, PLC	HAGAN, SEAN P				
P.O. BOX 320850			ART UNIT	PAPER NUMBER		
ALEXANDRIA, V	/A 22320-4850	2828 DATE MAILED: 10/22/2010				

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	
	   10/581,893	KOPF ET AL.	
Notice of Allowability	Examiner	Art Unit	
	SEAN HAGAN	2828	
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI	(OR REMAINS) CLOSED or other appropriate comm IGHTS. This application is	in this application. If not included nunication will be mailed in due course.	
1. $\boxtimes$ This communication is responsive to <u>information disclosure</u>	e statement received 17 S	<u>eptember 2010</u> .	
2. ☑ The allowed claim(s) is/are <u>1-10 and 12-23</u> .			
<ul> <li>3. Acknowledgment is made of a claim for foreign priority ur</li> <li>a) All b) Some* c) None of the:</li> <li>1. Certified copies of the priority documents have</li> <li>2. Certified copies of the priority documents have</li> <li>3. Copies of the certified copies of the priority documents</li> </ul>	been received. been received in Applicat	ion No	ı the
International Bureau (PCT Rule 17.2(a)).			
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		le a reply complying with the requiremer	nts
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give			OF
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.		
(a) 🔲 including changes required by the Notice of Draftspers	on's Patent Drawing Revie	ew ( PTO-948) attached	
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date			
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date			
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t			•
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT			
<ul> <li>Attachment(s)</li> <li>1. ☐ Notice of References Cited (PTO-892)</li> <li>2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>3. ☑ Information Disclosure Statements (PTO/SB/08),</li></ul>	6. ☐ Interview Paper No 7. ☐ Examiner'	nformal Patent Application Summary (PTO-413), ./Mail Date s Amendment/Comment s Statement of Reasons for Allowance	
	/Minsun Harv Supervisory P	rey/ atent Examiner, Art Unit 2828	

Application/Control Number: 10/581,893 Page 2

Art Unit: 2828

## **DETAILED ACTION**

1. Claims 1 through 12 originally filed 6 June 2006. Claims 1 through 11 presented as amended sheet of claims 6 June 2006. Claims 5, 6, 7, 9, 10, and 11 amended by second amendment filed 6 June 2006. Claims 1 through 10 amended by amendment filed 5 July 2006. Claim 11 cancelled by amendment filed 5 July 2006. Claims 12 through 19 added by amendment filed 5 July 2006. Claims 1 through 10 and 11 through 18 amended by amendment received 13 February 2009. Claim 20 added by amendment received 13 February 2009. Claim 1 amended by amendment entered with RCE received 17 August 2009. Claims 21 through 23 added by amendment entered with RCE received 17 August 2009. Claims 1 through 10 and 12 through 23 are pending in this application.

### Allowable Subject Matter

- 2. Claims 1 through 10 and 12 through 23 allowed.
- 3. The following is an examiner's statement of reasons for allowance:
- 4. Claim 1 is independent and describes a high power pulsed laser. Specifically of note, this claim requires that the resonator include a device for performing cavity dumping so as to extract the power from the resonator and a saturable absorber mirror so as to achieve a short pulse. It is required that the claimed device generate pulses in the femtosecond or picosecond range at a repetition rate greater than 10kHz and with a peak power greater than 100kW. Of note is Dahm (US Patent 5,848,080) which

discloses a high power output laser that uses cavity dumping. Dahm does not, however, utilize a saturable absorber so as to achieve a short pulse duration and has a peak power less than half that required by the claimed invention. Delfyett (US Patent 5,265,107) is noted for having an electro-absorption modulator that can achieve very short pulse durations, however the absorber of Delfyett is used in a system of significantly lower power than Dahm to the extent that problems not contemplated by Delfyett would need to be addressed before any combination could be made.

- 5. Of further note is Reiger et al. (Reiger, US Patent 5,790,574) wherein a laser pulse is amplified in a cavity dumped resonator at 12 kHz, however the output power is significantly less than that required by the claim.
- 6. Of further note is Paschotta et al. (Paschotta, US Patent 6,834,064) which uses a thin disk laser mode locked with a semiconductor saturable absorber mirror that provides short pulses and high average power. However, all power levels discussed by Paschotta are significantly below the required power level of the claimed invention.
- 7. Of further note is Furbach et al. (Furbach, US Patent 6,807,198) which uses a saturable absorber that can achieve short pulse durations, however no output power levels are discussed and there is no indication that the saturable absorber of Furbach would be capable of operating at the required power level of the claimed invention.
- 8. As such, claim 1 is allowed.
- 9. Claims 2 through 10 and 12 through 23 all properly depend from claim 1 and, as such, are allowable for the reasons provided above.

Application/Control Number: 10/581,893 Page 4

Art Unit: 2828

10. Any comments considered necessary by applicant must be submitted no later

than the payment of the issue fee and, to avoid processing delays, should preferably

accompany the issue fee. Such submissions should be clearly labeled "Comments on

Statement of Reasons for Allowance."

Conclusion

11. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to SEAN HAGAN whose telephone number is (571)270-

1242. The examiner can normally be reached on Monday-Friday 7:30 - 5:00.

12. If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Minsun O. Harvey can be reached on 571-272-1835. The fax phone

number for the organization where this application or proceeding is assigned is 571-

273-8300.

Application/Control Number: 10/581,893

Art Unit: 2828

13. Information regarding the status of an application may be obtained from the

Page 5

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/S. H./

Examiner, Art Unit 2828

/Minsun Harvey/

Supervisory Patent Examiner, Art Unit 2828